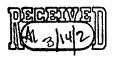
### Official



Atty Docket No. 020313-001810US

PTO FAX NO.:

703-746-7240

ATTENTION: TELEPHONE NO.:

Customer Service 703-306-5631 Group Art Unit 2152

# OFFICIAL COMMUNICATION REPLY TO NOTICE OF MISSING PARTS OF NON-PROVISIONAL APPLICATION

## FOR ENTRY IN APPLICATION NO. 09/996,341 FILED ON NOVEMBER 27, 2001

#### CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following document(s) in re Application of CECILY ANNE SNYDER, U.S. Application No. 09/996,341, filed November 27, 2001 for DOCKETING SYSTEM are being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

#### Document(s) Attached

- 1. SB/21 Transmittal Form (1 page)
- 2. SB/17 Fee Transmittal (in duplicate) (2 pages)
- 3. Petition to Extend Time (1 page)
- 4. Declaration (1 page)
- 5. Supplemental Application Data Sheet (3 pages)
- 6. Power of Attorney (1 page)
- 7. Statement Under 3.73(b) with attached copy of Assignment (2 pages)
- 8. Copy of Notice of Missing Parts (1 page)

Number of pages being transmitted, including this page) 13

Dated: March 14, 2002

Kriste K. Merrimac

PLEASE CONFIRM RECEIPT OF THIS PAPER BY RETURN FACSIMILE AT (650) 326-2422

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, CA 94111-3834

Telephone: 650-326-2400 / Pax: 650-326-2422



**Official** 

CENTED 1412

P.2

Please type a plus sign (+) inside this box -> + Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after Initial filing)			Application Number		09/996,341		
			Filing Date		November 27, 2001		
			First Named Inventor		Snyder, Cecily Anne		
			Group Art Unit		2152		
			Exami	ner Name	To Be As	signed	
Total Number of Pages in This Submission 1			Attorn	ey Docket Number	020313-0	001810U8	
ENCLOSURES (check all that apply)							
☑ Fee Transmittal Form ☐		Assignment Papers (for an Application)		After Allowance Communication to Group			
Fee Attached		Drawing(s)		Appeal Communication to Board of Appeals and Interferences			
Amendment / Response		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition Routing Slip (PTO/8B/89) and Accompanying Petition		Proprietary Information			
Affidavits/declaration(e)		Patition to Convert to a Provisional Application		Status Letter			
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address		Other Enclosure(s) (please identify below):			
Express Abandonment Request		Terminal Disclaimer Request for Refund		Declaration, Supplemental Application Data Sheet, Power of Attorney, Statement Under 3.73(b) with attached copy of Assignment, Copy of Notice of Missing Parts, Certificate of Facsimile Transmission			
Information Disclosure Statement		CD, Number of CD(s)					
Certified Copy of Priority Document(s)		Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.					
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR		Pursuant to the Notice to File Missing Parts of Non-Provisional Application dated December 14, 2001, the enclosures listed above are to be made of record in the above-identified case. The fees required are listed on the attached Fee Transmittal to be deducted from our Deposit Account listed above.					
1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm Townsend and Townsend and Crew LLP							
and Individual name George B. F. Yee for Sujit B. Kot			twal Reg. No. 37,478 (Yee) Reg. No. 43,336 (Kotwal)				
Signature George St			y				
Date March 14, 2002							
CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 746-7240 on March 14, 2002							
Typed or printed name Krista K. Merrimac							
Signature	Signature Date March 14, 2002						

Burden Hour Statement: This form is estimated to take 012 hours to complete. Time will vary depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
PA 3207827 V1

MAR.14.2002



Р.3

PTO/SEL17 (09-00)

Approved for use through 10/31/2002, OMB 0851-0032

Patent and Trademark Officer U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL			Complete if Known					
			Application Number 09/998,341					
for FY 2001	Filing Date		November 27, 2001					
			amed Inv	rentor	Snyde	er, Cecily Anne		
Patent fees are subject to annual revision.				To Be				
t determine the meaning name on 1912 and 1812 and	Examiner Name							
		Group Art Unit			2152			
TOTAL AMOUNT OF PAYMENT (\$) 532		Attorne	y Docke	t No.	0203	13-001810US	/	
		1	FEE CALCULATION (continued)					
MÉTHOD OF PAYMENT  The Commissioner is hereby authorized to charge			3. ADDITIONAL FEES					
1. In commissioner is narray authorized to charge indicated fees and credit any over payments to:			Entity	Small	Entity			
Deposit	7	Large Fee	Fee	Fee	Foo	Fee Description	Foo	
Account 20-1430		<b>Çode</b> 105	(\$) 130	Code 205	(\$) 65	Surcharge - late filing fee or eath	Paid 65	
Number	ل	127	50	227	25	Surcharge - late provisional filing fee		
Deposit	٦	139	••	•		or cover short	<b></b>	
Account Townsend and Townsend and Crow LLP			130	139	130	Non-English specification	<b>  </b>	
Name	_	147	2,520	147	2,520 920°	For filing a request for reexamination Requesting publication of SIR prior to	$\vdash$	
Charge Any Additional Fee Required Under S7 OFR 1.19 and 1.17		112	920*	112	94U"	Requesting publication of SIR prior to Examiner action		
Under 57 CPR 1-19 and 1-17  Applicant dalms small entity status.  See 37 CFR 1.27			1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2.   Payment Enclosed:		115	110	215	55	Extension for repty within first month	55	
☐ Check ☐ Credit card ☐ Money ☐ Other		116	400	218	200	Extension for reply within second month		
Order		117	920	217	460	Extension for reply within third month		
FEE CALCULATION  1. BASIC FILING FEE		118	1,440	218	720	Extension for repty within fourth month		
Large Entity Small Entity		129	1.950	228	980	Extension for reply within fifth month		
Fee Fee Fee Fee Description		118	520	219	180	Notice of Appeal	<b></b>	
Code (\$) Code (\$) Feé Pái	<u> </u>	120	320	220	160	Filing a briof in support of an appeal	$\square$	
101 740 201 370 Utility filing fee 370		121	280	221	140	Request for oral hearing	<b></b>	
106 330 208 165 Design filing fee	_	138	1.510	138	1,510	Petition to institute a public use proceeding		
108 740 208 370 Relacue filing fee		140	110	240	<b>56</b>	Petition to revive - unavoidable		
114 160 214 80 Provisional filing fee		141	1.280	241	640	Petition to revive – unintentional	<u> </u>	
DIIDTATAI MA	_	142 149	1,280	242	640	Utility issue fee (or release)	$\vdash$	
SUBTOTAL (1) (\$) 370			460	243	230 810	Design issue foe		
2. EXTRA CLAIM FEES		144 122	620 ·	244 122	130	Plant Issue fee Petitions to the Commissioner	$\vdash$	
Extra Fee from Fee Cleims below Pald						Petitions related to provisional		
Total Claims 20 -20** = 0 x \$9 = 50		123	50	123	50	applications	$\vdash$	
Independent 4 -3** = 1 X \$42 = \$42	$\neg$	125	180	126	180	Submission of Information Disclosure Sunt		
	=	[	40	F54	45	Recording each patent assignment		
Dependent × =		581	40	581	40	per property (times number of properties)		
Largo Entity Small Entity		146	740	248	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
Code (\$) Code (\$) Fee Description 109 18 209 9 Claims in excess of 20		149	740	249	370	For each additional invention to be examined (37 CFR § 1.128(b))		
102 84 202 42 Independent claims in excess of 3		179	740	279	370	Request for Continued Examination (RCE)		
104 280 204 140 Multiple dependent claim, if not pa		169	900	169		Request for expedited examination	$\vdash \vdash \vdash \mid$	
109 84 209 42 original patent			of a deeign application					
110 18 210 9 ** Reissue delms in excess of 20 and over original patent			e (specify	.,				
SUSTOTAL (2) (8) 42			The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
<u> </u>	Reduc	ed by Ba	sic Filing	ı Fee Pa	aid SUBTOTAL (3) (3) 120			
**or number previously paid, if greater, For Raissues, see above						(4) 120		
						O		

SUBMITTED BY				Gor	nplete (if epplicéble)
Name (PrinVType)	George B. F. Yea for Suit B. Kotwal	Registration No. (Attorney/Agenti)	37,478 (Yee) 43,336 (Katwal)	Telephone	ESD-326-2400
Shandun	Genze as	HW.		Date	Merch 14, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



P.13

Page 1 of 1





#### United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.ustata.cocv

APPLICATION NUMBER	FILING/RECRIPT DATE	PIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
742200112071210112001			
	11 (45 500)	011- A 04	020212 001010TIQ

09/996,341

11/27/2001

Cecily Anne Snyder

020313-00181008

CONFIRMATION NO. 1973

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER

Date Mailed: 12/14/2001

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The Item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$42.
  - \$42 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 477.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/08/2002 VTRUONG1 00000022 201430

01 FC:201 02 FC:202 370.00 CH

42.00 CH

03 FC:205

65.00 CH